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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Pramod K. Srivastava

Confirmation No.: 7824

Application No.: 09/411,075

Group Art Unit: 1636

Filed: October 4, 1999

Examiner: Davis, K.

For: PURIFICATION OF HEAT SHOCK/ STRESS  
PROTEIN CELL SURFACE RECEPTORS AND  
THEIR USE AS IMMUNOTHERAPEUTIC AGENTS

Attorney Docket No.: 8449-054-999

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.56 AND §1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the U.S. Patent and Trademark Office of all references coming to the attention of each individual associated with the filing and prosecution of the subject application which are or may be material to the patentability of any claim of the application, Attorneys for Applicants respectfully direct the Examiner's attention to the references BU-CH listed on the attached revised form PTO 1449 entitled "Supplemental List of References Cited by Applicant." Copies of references BU-CH are submitted herewith.

Pursuant to 37 C.F.R. § 1.98 (3) (ii), all translated portions of PCT publication No. WO 98/46739, labeled reference BW on the revised 1449 form, currently within the possession of any individuals designated in C.F.R. § 1.56(c), are provided herewith attached to the Japanese language copy of the publication.

Identification of the listed references is not to be construed as an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against the subject application. Consequently, Applicant respectfully declines to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an

alternative, Applicant submits herewith a "revised form PTO 1449" entitled "Supplemental List of References Cited by Applicant" instead of "List of Prior Art Cited."

Applicant requests that the Examiner review all the references identified on the attached revised PTO Form 1449, and that they be made of record in the file history of the above-identified application.

Pursuant to 37 C.F.R. § 1.97(b), it is believed that no fee is due in connection herewith, since this Information Disclosure Statement is filed as a submission with a Request For Continued Examination under 37 C.F.R. § 114. However, should the Patent Office determine otherwise, please charge the required fee to Pennie & Edmonds LLP deposit account no. 16-1150; a duplicate of this sheet is enclosed.

Respectfully submitted,

Date: May 22, 2002

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Enclosure